

2871#5

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

14274

6-27-c

In Re Application Of: **Hideaki SHIMAIISHI**

JUN 19 2002

Serial No.

09/774,921

Filing Date

Jan. 31, 2001

Examiner

Unassigned

Group Art Unit

2871

Title: **METHOD OF FABRICATING LIQUID CRYSTAL DISPLAY SUBSTRATE AND THE SAME**

Address to:

Assistant Commissioner for Patents

Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311

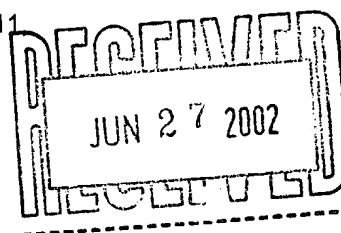
whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).



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Jan. 31, 2001

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_ (Date)

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Signature

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Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

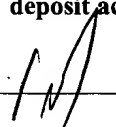
I certify that this document and fee is being deposited on **June 14, 2002** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

\_\_\_\_\_  
Signature of Person Mailing Correspondence

**Michelle Mustafa**  
Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

Dated: **June 14, 2002**

  
\_\_\_\_\_  
Signature  
**Paul J. Esatto, Jr., Reg. No. 30,749**  
**SCULLY, SCOTT, MURPHY & PRESSER**  
400 Garden City Plaza  
Garden City, NY 11530  
(516) 742-4343

PJE:ahs

CC:



**PATENT**

**UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>Applicant:</b>	<b>Hideaki SHIRAISHI</b>	<b>Examiner:</b>	<b>Unassigned</b>
<b>Serial No.:</b>	<b>09/774,921</b>	<b>Group Art Unit:</b>	<b>2871</b>
<b>Filed:</b>	<b>January 31, 2001</b>	<b>Docket:</b>	<b>14274</b>
<b>For:</b>	<b>METHOD OF FABRICATING LIQUID CRYSTAL DISPLAY SUBSTRATE AND THE SAME</b>		<b>Dated: June 14, 2002</b>

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

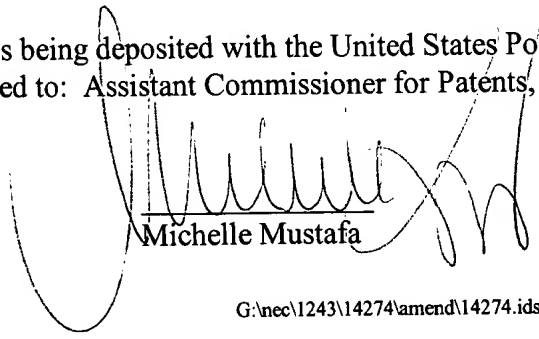
In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Laid-Open Patent Publication No. 52-080855, dated July 6, 1997, together with English-language Abstract;
2. Japanese Laid-Open Patent Publication No. 59-182421, dated October 17, 1984, together with English-language Abstract; and
3. Japanese Laid-Open Utility Model Application No. 53-41945, dated September 13, 1951.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on June 14, 2002.

Dated: June 14, 2002

  
Michelle Mustafa

The references were cited in an Official Action dated April 2, 2002, received from the Japanese Patent Office. Applicant is submitting copies of the above-cited references, together with a translation of the Examiner's comments regarding the references from the Official Action. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Paul J. Esatto, Jr.', written in a cursive style.

Paul J. Esatto, Jr.  
Registration No. 30,749

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